Reply to Office Action of December 22, 2005

JUN 2 6 2006

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of David P. Vellante, et al.

Serial No. 10/053,304

November 7, 2001 Filed:

Method for Assessing the Business Value of Information For:

Technology

TC/AU 3639

Examiner Fadey S. Jabr

ITC 2-002 Attorney Docket No.

HONORABLE COMMISSIONER FOR PATENTS MAIL STOP AMENDMENT P.O. BOX 1450 **ALEXANDRIA, VA 22313-1450** 

#### PETITION UNDER 37 C.F.R. 1.183

Sir:

A declaration and power of attorney signed by all the inventors, David P. Vellante, Edward Gershenson, David A. Floyer, and Leonard F. Swec, was submitted for the aboveidentified application in response to a Notice to Filing Missing Parts. Also submitted was an assignment of all the inventors' interests to ITCentrix, Inc., now Barometrix Software Corporation. That assignment is recorded at reel and frame 016670/0697. Copies of the declaration and assignment are attached hereto.

In an Office action dated December 22, 2005, the Examiner noted that the declaration included a deficiency, namely, that of having failed to provide the particulars of the provisional application to which the above-identified application claims priority. David P. Vellante, David A. Flowers and Leonard F. Swec have signed a supplemental declaration correcting this deficiency. A copy of the supplemental declaration is attached hereto.

Pursuant to 37 C.F.R. 1.183 and MPEP § 603(b), applicants David P. Vellante, David A. Floyer and Leonard F. Swec and the assignee, request that the Commissioner waive the requirement for inventor Edward Gershenson to sign the supplemental declaration as he was presented with the supplemental declaration and has refused to sign it.

A check is enclosed for the \$400.00 fee required by 37 C.F.R. 1.17(f) for filing a petition to suspend the rules.

Appln. No. 10/053,304
Filed with Amendment and Response dated June 22, 2006
Reply to Office Action of December 22, 2005

Respectfully submitted,

Date: June 22, 2 0 6

Diane E. Burke Reg. No. 45,725

MUELLER AND SMITH, L.P.A. Mueller-Smith Building 7700 Rivers Edge Drive Columbus, Ohio 43235-1355

Tel.: 614-436-0600 Fax: 614-436-0057

email: dburke@muellersmith.com

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited on with the United States Postal Service as first class mail in an avelope addressed to:

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450



## DECLARATION AND POWER OF ATTORNEY PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

I believe I am the original, first and sole (if only one name is listed below) or an original, first and joint (if plural names are listed below) inventor of the subject matter which is claimed and for which a patent application is sought on the invention entitled:

METHOD FOR ASSESSING THE BUSINESS VALUE OF INFORMATION TECHNOLOGY the specification of which

[ ] is attached hereto.

[ ] is attached hereto.		
[ X ] was filed on <u>Novembe</u> amended on	r 7, 2001, as applicatio	on Serial No. <u>10/053,304,</u> and was
I hereby state that I had identified specification, include above.	ave reviewed and undoing the claims, as amer	erstand the contents of the above- nded by any amendment referred to
I acknowledge the examination of this application §1.56(a).	duty to disclose informing in accordance with Tr	mation which is material to the tle 37, Code of Federal Regulations,
States application(s) listed be of the application is not discl provided by the first paragrap duty to disclose material infon	low, and insofar as the s losed in the prior Unite h of Title 35, United Sta mation as defined in Tit reen the filing date of t	States Code, §120 of any United subject matter of each of the claims d States application in the manner ates Code, §112, I acknowledge the de 37, Code of Federal Regulations, the prior application and the national
App'n Serial No.	Filing Date	Status (patented, pending, abandoned)
App'n Serial No.	Filing Date	Status (patented, pending, abandoned)

I hereby appoint Jerry K. Mueller, Jr., Reg. No. 27,576, Gerald L. Smith, Reg. No. 22,009, and Diane E. Burke, Reg. No. 45,725 jointly and each of them severally, my attorneys and attorney, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith. I hereby direct that all correspondence be addressed to: Mueller and Smith, LPA, 7700 Rivers Edge Drive, Columbus, Ohio 43235, and all telephone calls be directed to Gerald L. Smith, at telephone number (614) 436-0600.



I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: David P. Vellante

Inventor's signature My Mark Date 4/22/02
Residence: Still River, MA
Citizenship US
Post Office Address: 259 Still River Road, Still River, MA 01467
Full name of second inventor: Edward A. Gershenson
Inventor's signature
Residence: Sudbury, MA
Citizenship: US
Post Office Address: 10 Mark Lane, Sudbury, MA 01776
**********************
Full name of third inventor: David A. Floyer
Inventor's signature $\frac{1}{200}$ Date $\frac{5}{3}$ Date $\frac{5}{3}$
Residence: Mountain View, CA
Citizenship: United Kingdom
Post Office Address: 707 Continental Cir. Ste 131, Mountain View, CA 94040

Full name of fourth inventor: Leonard F. Swec



Inventor's signature Llonard Hurs M. Date APRIL 24, 2003

Residence: Danville, CA

Citizenship: US

Post Office Address:849 El Quanito Drive, Danville, CA 94526

Attorn Jocket No.: ITC 2-002

#### ASSIGNMENT

WHEREAS, we, David P. Vellante of Still River, MA, Edward A. Gershenson of Sudbury,
 MA, David A. Floyer of Mountain View, CA, and Leonard F. Swec of Danville, CA are the exclusive owners of the invention identified as:

U.S. Pat. Application No. 10/053,304, effective date, November 7, 2001, for "Method For Assessing The Business Value Of Information Technology;

WHEREAS, ITCentrix., a corporation of the State of Delaware, having an office at 1661 Worcester Road, Suite 403, Framingham, MA 01701, (the "Assignee") is desirous of acquiring all of the right, title, and interest in and to the aforesaid invention and patent listed above.

NOW, THEREFORE, To All Whom It May concern, be it known that, for and in consideration of the sum of Ten Dollar (\$10.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignors have sold, assigned, and transferred, and by these presents do sell, assign, and transfer, unto said Assignee, their entire right, title and interest in and to the invention shown, executed by us respectively on the day of April, 2002, the 23rd day of May, 2002, and the 24rd day of April, 2002, described, and claimed in the aforesaid United States Patent Application No. 10/053,304; in and to any extensions, reissues, or renewals thereof.

And the Assignors further covenants and agrees that theye will execute such additional and further assurance of title as may be necessary or proper to fully convey the interest herein sought to be conveyed; that the Assignors will execute such application papers as may be desired by the said Assignee for the filing of any reissues of United States Patent Application No. 10/053,304.

in Testimony Whereof, we have hereunto set his hands at Framingham, MA, this day of <u>February</u> 2002.  David P. Vellante
COMMONWEALTH OF MASSACHUSETTS )
COUNTY OF MIDDLESEX ) ss.
Personally appeared before me this day the above-named David P. Vellante to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.
In testimony whereof, I have hereunto set my hand and affixed my seal this 22 day of 1000 2002
Michille Valques Notary Public ney commission ) Exp 11/15/00
***************************************

Attorn Jocket No.: ITC 2-002

- duch	
COMMONIMENTAL THE OF MARCA CHILDS TO	
COMMONWEALTH OF MASSACHUSETTS ) ) ss.	
COUNTY OF MIDDLESEX	
Personally appeared before me this day the above-named Edward A. Gershenson to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.	
In testimony whereof, I have hereunto set my hand and affixed my seal this 32 rel day of Hpril 2002	
Notary Public my commusion expulsion	
David A. Floyer	
STATE OF CALIFORNIA )	
COUNTY OF ) ss.	
Personally appeared before me this day the above-named David A. Floyer to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.	
In testimony whereof, I have hereunto set my hand and affixed my seal thisaday of2002	_
SEE ATTACHED CERTIFICATE  Notary Public	e"z
*************************************	Ÿ.
IN TESTIMONY WHEREOF, Leonard F. Swec has hereunto set his hand at DANVILLE, this Hand at DANVILLE, 2002.	
Llonard F. Swec	
STATE OF CALIFORNIA )	
COUNTY OF CONTIA COSTA ) ss.	

Attorney Docket No.: ITC 2-002

Personally appeared before me this day the above-named Leonard F. Swec to me personally known, who acknowledged that he signed the foregoing instrument for the uses and purposes therein mentioned, and that the same is his free act and deed.

Notary Pu

STEPHEN J. PONTIFLET
Commission # 1186113
Notary Public - California
Contra Casta County
My Comm. Expires Jun 6, 2002

### CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California	)
County of SANTA CLARA	ss.
County of STRIKE CLARA	J
On MAY 3, 2002 before me, A	RTHURH. GRAYT NOTARY PUBLIC
Date TALL <sup>®</sup> TALL	Name and Title of Officer (e.g., "Jane Doe, Notary Public")
personally appeared DAVID A.F	Name(s) of Signer(s)
	personally known to me
	proved to me on the basis of satisfacto
	evidence
ATTENTO	to be the person whose name (A) (1)/e
ARTHUR H. GRAY II Commission # 1320741	subscribed to the within instrument an
Notary Public — California	acknowledged to me that he same in his her/their authorize
Santa Clara County	capacity(iss), and that by his her/the
My Comm. Expires Sep 13,2005	signature on the instrument the person a,
	the entity upon behalf of which the person acted, executed the instrument.
	WITNESS my hand and official seal.
	through Houston
	Signature of Notary Public
OPTIC	ONAL
Though the information below is not required by law, it may prove	valuable to persons relying on the document and could preven
fraudulent removal and reattachmen	I of this form to another document.
Description of Attached Document	
Title or Type of Document: DECIAPATION & Po	WER OF ATTORNEY PATENT
AL. D	APPLICAT
Document Date: No DATE	Number of Pages:5
Signer(s) Other Than Named Above: NA	
Capacity(ies) Claimed by Signer	
Signer's Name: DAUD A, FLo	VED
Signer's Name: TVIP R, I Lo	RIGHT THUMBPRIN
🗴 Individual	OF SIGNER Top of thumb here
☐ Corporate Officer — Title(s):	
☐ Partner — ☐ Limited ☐ General	
☐ Attorney-in-Fact	l l
☐ Trustee	
Guardian or Conconistor	
☐ Guardian or Conservator ☐ Other: Signer Is Representing:	



# DECLARATION AND POWER OF ATTORNEY PATENT APPLICATION

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

name:
I believe I am the original, first and sole (if only one name is listed below) or ar original, first and joint (if plural names are listed below) inventor of the subject matter which is claimed and for which a patent application is sought on the invention entitled:  METHOD FOR ASSESSING THE BUSINESS VALUE OF INFORMATION  TECHNOLOGY
the specification of which
[ ] is attached hereto.
[ X ] was filed on November 7, 2001, as application Serial No. 10/053,304, and was amended on March 17, 2004 .
I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.
I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).
I hereby claim benefit under Title 35, United States Code, §120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of the application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national PCT international filing date of this application:
60/246,741 November 08, 2000 expired App'n Serial No. Filing Date Status (patented, pending, abandoned)
App'n Serial No. Filing Date Status (patented, pending, abandoned)
I hereby appoint Jerry K. Mueller, Jr., Reg. No. 27,576, Gerald L. Smith, Reg. No. 22,009, and Diane E. Burke, Reg. No. 45,725 jointly and each of them severally, my attorneys and attorney with full power of substitution, delegation, and revocation, to

I hereby appoint Jerry K. Mueller, Jr., Reg. No. 27,576, Gerald L. Smith, Reg. No. 22,009, and Diane E. Burke, Reg. No. 45,725 jointly and each of them severally, my attorneys and attorney, with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent and to transact all business in the Patent and Trademark Office connected therewith. I hereby direct that all correspondence be addressed to: Mueller and Smith,



LPA, 7700 Rivers Edge Drive, Columbus, Ohio 43235, and all telephone calls be directed to Gerald L. Smith, at telephone number (614) 436-0600.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: David P. Vellante Inventor's signature Residence: Still River, MA Citizenship US Post Office Address: 259 Still River Road, Still River, MA 01467 Full name of second inventor: Edward A. Gershenson Inventor's signature \_\_\_\_\_ Date Residence: Sudbury, MA Citizenship: US Post Office Address: 10 Mark Lane, Sudbury, MA 01776 Full name of third inventor: David A. Floyer Inventor's signature Residence: Mountain View, CA Citizenship: United Kingdom

Post Office Address: 707 Continental Cir. Ste 131, Mountain View, CA 94040



Full name of fourth inventor: Leonard F. Swec

Residence: New London, CT

Citizenship: US

Post Office Address: 815 Pequot Ave, New London, CT 06320

ITCentrix Announces Delivery of its SAN Value Software

STILL RIVER, MA - November 1, 1999 - ITCentrix, a leading developer of innovative IT decision support solutions, today announced it has begun shipping Version 2.0 of the SAN Value Tool. The software announced is a standalone, Windows-based decision support application designed to help IT and Business Professionals assess the business value of a SAN infrastructure. The tool, uses the ITCentrix Value Framework to quantify value in three areas, including:

Cost Savings;

Availability Value;

The Value of Faster Application Deployment.

The software includes an easy-to-use front-end interface complete with online help functions to assist users in applying the Rapid Assessment Methodology developed by ITCentrix. The software presents an "apples-to-apples" business case for a variety of different storage approaches including:

Distributed Storage - outside of a data center;

Collocated Storage - moved into a data center;

Basic SAN - involves rudimentary any-to-any connectivity between servers and I/O;

Enhanced SAN - includes more sophisticated volume and fabric management in addition to other software functions;

Future SAN - is a fully enabled SAN offering with dynamic workload and volume management in addition to a global file system.

The software was developed with inputs from Global 2000 and smaller organizations and contains comparative industry information to allow customers to predict costs and benefits based on such factors as workload and complexity of environment. To develop the software, ITCentrix used its database of storage customers that currently includes more than 300 data points on storage management effectiveness within large and medium sized organizations in the U.S. and Europe.

Commenting on the announcement, Dave Vellante, President of ITCentrix said, "In five months we have gone from proof-of-concept to a fully operational, revenue-producing software tool. Our customers are pushing us to add new function at a fast pace and we're excited about the prospects of not only enhancing this software but applying our framework to new technology areas."

JUN 2 6 2006

Version 2.0 of the ITCentrix SAN Value Tool currently is available to assess SAN infrastructure in Unix and NT environments with future platforms expected in the first half of 2000.

#### About ITCentrix

ITCentrix is a pre-IPO software and services company founded in 1999 by leading business and technology experts. The company develops powerful decision support software and complimentary services to help its clients make rapid, high quality decisions in their specific business context. ITCentrix has performed numerous of assessments in Global 2000 and smaller organizations using its proprietary software and Rapid Assessment Methodology to help companies avoid costs, improve service levels and accelerate time-to-market.